SUBJECT: Accreditation Program for FSSC 22000 Management Systems

APPLIES TO: FSSC 22000 ANAB-Accredited and Applicant Certification Bodies

PREFACE

This Accreditation Rule is to inform certification bodies (CBs) of ANAB requirements for accreditation to certify organizations for FSSC 22000 management systems conforming with the Foundation for Food Safety Certification’s FSSC 22000, Food Safety System Certification.

ACCREDITATION RULE

1. Requirement Documents (current versions unless specified)
   1.1. FSSC 22000 Part 0, Definitions (available from http://www.fssc22000.com)
   1.2. FSSC 22000 Part I, Scheme Overview (available from http://www.fssc22000.com)
   1.3. FSSC 22000 Part II, Requirements for Certification (available from http://www.fssc22000.com)
   1.4. FSSC 22000 Part III, Requirements for Certification Process (available from http://www.fssc22000.com)
   1.5. FSSC 22000 Part IV, Requirements for Certification Bodies (available from http://www.fssc22000.com)
   1.6. FSSC 22000 Part V, Requirements for Accreditation Bodies (available from http://www.fssc22000.com)
   1.7. FSSC 22000 Annexes 1-6.2 (available from http://www.fssc22000.com)
   1.8. ISO 22000, Food Safety Management Systems – Requirements for any organization in the food chain
   1.9. ISO/TS 22003, Food safety management systems – Requirements for bodies providing audit and certification of food safety management systems
   1.10. IAF Mandatory Document 16, Application of ISO/IEC 17011 for the Accreditation of Food Safety Management Systems (FSMS) Certification Bodies
   1.11. ISO/TS 22002-1, Prerequisite programmes on food safety – Part 1: Food manufacturing (supersedes BSI PAS 220, Prerequisite programmes on food safety for food manufacturing)
   1.12. ISO/TS 22002-2, Prerequisite programmes on food safety – Part 2: Catering
   1.13. ISO/TS 22002-3, Prerequisite programmes on food safety – Part 3: Farming
   1.14. ISO/TS 22002-4, Prerequisite programmes on food safety – Part 4: Food packaging manufacturing (supersedes BSI PAS 223, Prerequisite programmes and design requirements for food safety in the manufacture and provision of food packaging)
1.1. ISO/TS 22002-6, Prerequisite programmes on food safety – Part 6: food and animal food production
1.16. NEN/NTA 8059, Prerequisite programs on food safety for transport and storage
1.17. PAS 221, Prerequisite programmes for food safety in retail
1.18. GFSI Benchmarking Requirements (available from http://www.mygfsi.com)
1.19. ISO/IEC 17021-1, Conformity assessment – Requirements for bodies providing audit and certification of management systems
1.20. MA 5000, ANAB Accreditation Manual, and applicable ANAB Accreditation Rules
1.21. Other IAF Mandatory Documents as applicable

2. Prerequisites

2.1. The CB shall be accredited by ANAB for ISO 22000.

2.2. The CB shall obtain a provisional license from the Foundation for Food Safety Certification in accordance with FSSC 22000, Part IV.

3. Application Process

3.1. CBs can obtain applications for informational use at www.anab.org.

3.2. The application process outlined at www.anab.org must be completed via ANAB’s Enterprise Quality Manager (EQM) database when the CB is ready to apply for accreditation.

3.3. The application fee includes the cost of one assessor day for the off-site documentation review.

4. Initial Assessments for Accreditation

4.1. An ANAB accreditation assessor shall conduct a full documentation review.

4.2. After the documents are found acceptable, ANAB shall conduct an office assessment and required witnessed audits.

4.2.1. The office assessment shall be conducted on site or remotely (at ANAB’s discretion) and is conducted to ensure the CB’s certification process for FSSC 22000 conforms with (a) ISO/IEC 17021-1, (b) ISO/TS 22003, (c) appropriate prerequisite program requirements (as outlined in section 1 above), and the (d) GFSI Benchmarking Requirements, and is effective.

4.2.2. Because of the addition of the prerequisite program requirements, an initial witnessed audit shall be required for all applicant CBs.

4.2.2.1. ANAB shall witness the CB conducting a two-stage audit process (stages 1 and 2) to FSSC 22000.

4.2.2.1.1. For initial accreditation, at least one witnessed audit is required in the cluster for the categories requested. One witnessed audit may be sufficient for more than one category, except for the food and feed processing cluster and retail, transport and storage cluster, for which the categories shall be assessed separately.

4.2.2.1.2. Witnessed audits for initial accreditation shall incorporate all requirements of FSSC 22000, including ISO 22000. Upgrade audits are not accepted for initial accreditation witnessed audits.

4.2.2.2. The ANAB assessment team shall have the same number of members as the CB audit team.
4.2.2.3. Because of the level of expertise needed, in accordance with IAF MD 16, ANAB may use technical experts for FSSC 22000 witnessed audits. ANAB will invoice the CB for the fees and expenses of the technical expert.

5. Surveillance Assessments

5.1. The FSSC 22000 accreditation cycle shall be a maximum of five years.

5.2. ANAB shall conduct an annual office assessment and annually witness a CB team conducting an FSSC 22000 audit (see GFSI Benchmarking Requirements).

5.2.1. When possible, the office assessment shall be conducted concurrently with assessments for other ANAB accreditation programs for which the CB is accredited.

5.2.2. During the accreditation cycle, ANAB shall evaluate the CB’s full system audit process during at least one annual witnessed audit (stages 1 and 2 certification audit or recertification). The additional annual witnessed audits required in the accreditation cycle are based on the CB’s audit activity and management system findings, and include audit types such as surveillance, special, multi-site, scope expansion, transfer, integrated, ASRP, and/or CAAT. ANAB Accreditation Rule 18 outlines the witnessed audit scheduling process in addition to IAF MD 16.

5.2.2.1. At least one witnessed audit shall be conducted in cluster 2 each year (as defined in IAF MD 16).

5.2.2.2. At least one witnessed audit shall be conducted in all other clusters for which the CB is accredited over a period of four years (FSSC 22000 part V).

5.2.2.3. IAF MD 16 states that initial certification audits should be witnessed. ANAB will determine when an initial certification audit instead of a recertification should be witnessed based on the CB’s activity and performance.

5.2.2.4. ANAB will consider witnessing FSSC audits in lieu of ISO 22000 audits; however, an upgrade audit from ISO 22000 to FSSC is not acceptable. ANAB’s decision depends on the CB’s scope of activity, amount of ISO 22000 and FSSC activity, and previous assessment results.

5.2.3. Because of the level of expertise needed, in accordance with IAF MD 16, ANAB may use technical experts for FSSC 22000 witnessed audits. ANAB will invoice the CB for the fees and expenses of the technical expert.

6. Reaccreditation Assessments

6.1. ANAB shall conduct a document review and an on-site office assessment at approximately six months prior to the expiration of accreditation.

7. Scope of Accreditation

7.1. The scope of accreditation shall be for the following:

7.1.1. The appropriate prerequisite program requirements as outlined in FSSC 22000.

7.1.2. The food categories specified in FSSC 22000, Part II (which refers to some of the categories from ISO/TS 22003).

7.2. During the initial accreditation process, ANAB will determine the witnessing needed for the scope (including applicable prerequisite program requirements and food categories) sought by the CB.

7.3. To expand the scope of accreditation, the CB shall provide a completed scope extension application (via EQM) that includes evidence demonstrating an appropriate level of competence for the desired categories.
7.3.1. A witnessed audit is not required for extensions of scope within a cluster for which the CB is already accredited, except for the food and feed processing cluster and retail, transportation, and storage cluster. A witnessed audit is required for extensions of scope in a cluster for which the CB is not accredited.

7.3.2. A competent accreditation assessor technical reviewer will review the application and documentation, including the CB’s competencies and process for the specific categories, and prepare a written response on the CB’s conformance, which shall result in one of the following recommendations:
   a. Require a witnessed audit prior to granting the category.
   b. Grant the category without a witnessed audit.
   c. Grant the category with a witnessed audit required at the first opportunity after accreditation.
   d. Not grant the category.

8. Sharing Information with the Scheme Owner

   8.1. Upon request by the Foundation, ANAB may share with the Foundation’s Integrity Program CB information including but not limited to assessment reports from ANAB or an AB acting on behalf of ANAB.

9. Acknowledgements

   9.1. ANAB acknowledges the FSSC 22000 Board of Stakeholders has sole responsibility for the content of FSSC 22000.

   9.2. ANAB acknowledges the Foundation for Food Safety Certification is the legal representative of the FSSC 22000 Board of Stakeholders and the legal owner of FSSC 22000.

   9.3. ANAB acknowledges that all decisions made by the FSSC 22000 Board of Stakeholders are binding for the execution of FSSC 22000.

<table>
<thead>
<tr>
<th>Cluster¹</th>
<th>Category</th>
<th>Sub-category</th>
<th>PRP</th>
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<tbody>
<tr>
<td>1. Farming</td>
<td>A Farming of Animals</td>
<td>AI Farming of animals for meat/milk/eggs/honey</td>
<td>ISO/TS 22002-3</td>
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<tr>
<td></td>
<td></td>
<td>AII Farming of fish and seafood</td>
<td>ISO/TS 22002-3</td>
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<tr>
<td>2. Food and feed processing</td>
<td>C Food Manufacturing</td>
<td>CI Processing of perishable animal products</td>
<td>ISO/TS 22002-1</td>
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<td></td>
<td></td>
<td>CII Processing or perishable plant products</td>
<td>ISO/TS 22002-1</td>
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<td></td>
<td></td>
<td>CIII Processing of perishable animal and plant products (mixed products)</td>
<td>ISO/TS 22002-1</td>
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<td></td>
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<td>CIV Processing of ambient stable products</td>
<td>ISO/TS 22002-1</td>
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<td></td>
<td>D Animal Feed Production</td>
<td>DI Production of animal feed</td>
<td>ISO/TS 22002-6</td>
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<td></td>
<td></td>
<td>DII Production of pet food for other pets than dogs and cats</td>
<td>ISO/TS 22002-6</td>
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<tr>
<td></td>
<td></td>
<td>DII Production of pet food for dogs and cats</td>
<td>ISO/TS 22002-1</td>
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<tr>
<td>4. Retail, transport and storage</td>
<td>FI Distribution</td>
<td>Retail</td>
<td>PAS 221</td>
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<td></td>
<td>G Provision of Transport and Storage Services</td>
<td>GI Provision of Transport and Storage Services for Perishable Food and feed</td>
<td>NTA 8059</td>
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<td></td>
<td></td>
<td>GII Provision of Transport and Storage Services for Ambient Food and feed</td>
<td>NTA 8059</td>
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<tr>
<td>5. Auxiliary services</td>
<td>I Production of Food Packaging and Packaging Material</td>
<td></td>
<td>ISO/TS 22002-4</td>
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</tbody>
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¹Clusters are intended to be used for accreditation scope of accredited certification bodies, and for accreditation bodies witnessing certification bodies.