SUBJECT: Accreditation Program for Supply Chain Security Management Systems (SCSMS)

APPLIES TO: ISO 28000 SCSMS ANAB-Accredited and Applicant Certification Bodies

PREFACE
This Accreditation Rule is to inform certification bodies (CBs) of ANAB requirements for accreditation to certify organizations for SCSMS conforming with ISO 28000.

ACCREDITATION RULE
1. Requirement Documents (current versions unless specified)
   1.1. ISO 28003, Security management systems for the supply chain – Requirements for bodies providing audit and certification of supply chain management systems
   1.2. ISO 28000, Specification for security management systems for the supply chain
   1.3. ISO/IEC 17021-1, Conformity assessment – Requirements for bodies providing audit and certification of management systems
   1.4. MA 5000, ANAB Accreditation Manual, and applicable ANAB Accreditation Rules
   1.5. IAF Mandatory Documents as applicable

2. Application Process
   2.1. CBs can obtain applications for informational use at www.anab.org.
   2.2. The application process outlined at www.anab.org must be completed via ANAB’s Enterprise Quality Manager (EQM) database when the CB is ready to apply for accreditation.
   2.3. The application fee includes the cost of one assessor day for the off-site documentation review.

3. Initial Assessments for Accreditation
   3.1. An ANAB accreditation assessor shall conduct a full documentation review.
   3.2. After the documents are found acceptable, ANAB shall conduct an office assessment and required witnessed audits.
       3.2.1. The office assessment shall be conducted on site or remotely (at ANAB’s discretion) and is conducted to ensure the CB’s certification process for SCSMS conforms with ISO/IEC 17021-1 and ISO 28003 and is effective.
       3.2.2. ANAB shall witness the CB conducting a two-stage audit process (stages 1 and 2).
           3.2.2.1. The ANAB assessment team shall have the same number of members as the CB audit team.

4. Surveillance Assessments
   4.1. ANAB shall conduct an annual office assessment and annually witness the CB conducting an SCSMS audit.
       4.1.1. When possible, the office assessment shall be conducted concurrently with assessments for other ANAB accreditation programs for which the CB is accredited.
4.1.2. During the accreditation cycle, ANAB shall evaluate the CB’s full system audit process during at least one annual witnessed audit (stages 1 and 2 certification audit or recertification). The additional witnessed audits required in the accreditation cycle are based on the CB’s audit activity and management system findings, and include audit types such as surveillance, special, multi-site, scope expansion, transfer, integrated, ASRP, and/or CAAT. ANAB Accreditation Rule 18 outlines the witnessed audit scheduling process and the process for potentially altering the types of audits witnessed.

5. Re-accreditation Assessments

5.1. ANAB shall conduct a document review and an on-site full system office assessment at approximately six months prior to the expiration of accreditation.

6. Audit Duration

6.1. For each client, the CB shall document the justification for the audit duration and maintain records of the audit duration and justification.

7. Competence of CB Personnel

7.1. In addition to the demonstrated knowledge, skills, and attributes for competence of a management systems audit team, the CB shall demonstrate each audit team be able to:

7.1.1. Judge conformance or nonconformance with ISO 28000.

7.1.2. Make sound judgments when auditing an organization’s processes for:
   a. Change management.
   b. Risk assessment and analysis.
   c. Vulnerability assessment and threat identification.
   d. Incident planning, preparedness, and response.
   e. Handling confidential information.
   f. Post-incident recovery and actions.

7.1.3. Make sound judgments when auditing risk control, minimization, mitigation, and elimination methodologies.

7.1.4. Understand and apply governmental and intergovernmental regulations, initiatives, and programs that have an impact on supply chain security.

7.1.5. Make sound judgments when auditing an organization’s supply chain process flow.